PROCEDURES FOR DEVELOPING SCOPES OF WORK FOR

THE ELEVATION OF FLOODPRONE STRUCTURES

SAMPLE DATA FOR THE SCOPE OF WORK

PROCEDURES FOR DEVELOPING SCOPES OF WORK FOR THE ELEVATION OF FLOODPRONE STRUCTURES

PURPOSE

The Federal Emergency Management Agency (FEMA) administers three grant programs to assist communities in mitigating the effects of natural hazards: the Flood Mitigation Assistance (FMA) Program, the Hazard Mitigation Grant Program (HMGP), and the Pre-Disaster Mitigation (PDM) grant program. State, Tribal, and local government agencies may apply through the States to receive funds for these programs. FEMA requires these applicants to meet a specific set of requirements when applying for the funds to ensure that proposed projects meet the program requirements, Federal environmental laws and regulations, and cost-effectiveness requirements.

The purpose of this document is to provide guidance for applicants applying for funding under FEMA's mitigation grant programs, including collection of the administrative and technical data FEMA requires as part of the grant review and approval process. This document is designed to compliment the FEMA electronic grants (e-Grants) management system accessible at:

https://portal.fema.gov/famsVu/dynamic/mitigation.html

By submitting the data described in Section 2, the applicant facilitates both State and FEMA review of the funding application. SOWs without the data may result in delays or a

decline of project funding. An example application exhibiting the components described in this guidance is included.

The information in the left column (Procedures) provides information on the format and requirements for an applicant to provide technical and other data in support of their request for funding. The right column (Sample Data) provides an applicant with examples of data and the presentation or format of the data that the State and FEMA will need to review during their evaluation of the application.

Specific information regarding the administrative and eligibility requirements for mitigation programs is not presented here. Furthermore, this represents a summary of areas that should be covered in a SOW for a mitigation project application. Some areas can be significantly expanded via FEMA or other guidance for implementing specific programs and conducting an environmental review and a benefit-cost analysis (BCA) to determine cost-effectiveness. The State Hazard Mitigation Officer (SHMO) or FEMA Regional Office should be contacted for additional information.

One method of reducing future damage from floods is for the homeowners to elevate existing structures on fill, posts, or extended foundation walls.

SAMPLE DATA FOR THE SCOPE OF WORK

SAMPLE DATA FOR THE SCOPE OF WORK

2. DEVELOPING THE SCOPE OF WORK FOR AN ELEVATION PROJECT

One of the primary goals of FEMA's mitigation programs is to reduce future flood damage, particularly to structures that are insured under the National Flood Insurance Program (NFIP). To achieve this goal, FEMA may provide funding to communities for the elevation of floodprone structures. For this type of project, provided that the property owners agree the existing floodprone structures are elevated above the flood hazard areas identified on FEMA Flood Insurance Rate Maps (FIRMs). Structures may only be elevated if they are not located within a regulatory floodway, or not on federally leased land.

This guidance pertains to the elevation of floodprone structures.

Summary of Required Application Information

When a community applies for funding for an elevation project, the following information must be submitted with the application:

- 1. Basic applicant, contact, and community information. (Section 2.1).
- 2. Information regarding the applicable Hazard Mitigation Plan for the jurisdiction in which the project is being implemented. (Section 2.1).

The City has followed the FEMA Required Application Information checklist in providing and organizing the data to support the funding request.

SAMPLE DATA FOR THE SCOPE OF WORK

- 3. Descriptions of the hazard, the problem, and the project. (Section 2.1).
- 4. Information regarding the FIS and FIRM. (Section 2.2).
- 5. Building inventory. (Section 2.3).
- 6. Description of the decision-making process and evaluation of alternatives. (Section 2.4).
- 7. BCA and supporting documentation. (Section 2.6).
- 8. Detailed SOW, which includes a project description. (Section 2.7).
- 9. Sources of the Cost Share. (Section 2.7).
- 10. Schedule for completing the scope of work once the structure elevations are completed. (Section 2.8).
- 11. Cost estimate. (Section 2.8).
- 12. Description of environmental considerations and supporting documentation. (Section 2.9).

Internet Resources for Applicants

The FEMA website (www.fema.gov) and the e-Grants portal (https://portal.fema.gov/famsVu/dynamic/mitigation.html) have a wealth of useful information to assist applicants through the funding request process. These include:

PROCEDURES SAMPLE DATA FOR THE SCOPE OF WORK FEMA Mitigation Division, Education and Training (www.fema.gov/fima/education). FEMA Mitigation Division, Mitigation Grant Programs, FMA, (www.fema.gov/fima/fma). • FEMA Mitigation Division, Mitigation Grant Programs, HMGP, (www.fema.gov/fima/hmgp). FEMA Mitigation Division, Mitigation Grant Programs, PDM, (www.fema.gov/fima/pdm). FEMA Mitigation Division, Best Practices and Case Studies, (http://www.fema.gov/fima/bp.shtm) Flood Hazards (www.fema.gov/hazards/floods) Flood Hazard Mapping (www.fema.gov/fhm) • Floodplain Management (http://www.fema.gov/fima/floodplain.shtm) FEMA Environmental and Historic (www.fema.gov/ehp) FEMA BCA (www.fema.gov/txt/fima/guidelines_for_bca.txt) FEMA BCA Helpline (toll free at 866-222-3580) or via email at bchelpline@dhs.gov.

SAMPLE DATA FOR THE SCOPE OF WORK

- FEMA 347 Above the Flood: Elevating Your Flood Prone House (www.fema.gov/hazards/floods/lib347.shtm)
- FEMA Technical Bulletins (http://www.fema.gov/fima/techbul.shtm):
 - 9-99 Design and Construction Guidance for Breakaway Walls Below Elevated Coastal Buildings
 - o <u>10-01 Ensuring that Structures Built on Fill In or</u> <u>Near Special Flood Hazard Areas are Reasonably</u> <u>Safe From Flooding</u>
 - 11-01 Crawlspace Construction for Buildings Located in Special Flood Hazard Areas

SAMPLE DATA FOR THE SCOPE OF WORK

2.1 STEP 1: OBTAIN BASIC PROJECT INFORMATION

The applicant must obtain the following basic information to apply for funds for an elevation project:

- Information regarding the organization applying for the grant, including:
 - Legal status and function.
 - State and Federal employer tax identification numbers.
 - Program eligibility category that is, State, Tribal, or local government, special government district, or eligible private nonprofit group or agency.
 - State and Federal legislative district information.
- Information for primary and alternate State and local contacts. Contacts for data clarification or additional data and all consultants should also be clearly identified.

Applicant: City of Adversity, located in Prosperity County in the State of Any State (AS).

State Employer Tax Identification Number: 4576-3456-7782

Federal Employer Tax Identification Number: 8897-5643-7743

Program Eligibility Category: Local government

<u>Legislative Districts:</u> 1 st Congressional District; 2nd State Assembly District; 3rd State Senate District

Primary Local Point of Contact:

Taylor Gilmour		Director of City
City of Adversity, AS	Job Title:	Services
City Hall, Room 410	Telephone:	(111) 711-0022
35003 Tornado Alley	Fax:	(111) 711-0333
Adversity, AS 40009	Email:	tgilmour@adv.as.us

SAMPLE DATA FOR THE SCOPE OF WORK

Alternate Local Point of Contact:

James Koff Job Title: Chief Engineer

City of Adversity, AS Telephone: (111) 711-0045

City Hall, Room 312 Fax: (111) 711-0335

35003 Tornado Alley Email: jkoff@adv.as.us

Adversity, AS 40009

Primary State Point of Contact

Alton Leonard Job

Title: AS SHMO

Emergency

Management

Telephone: (222) 822-4466

Agency

Fax: (222) 822-1100

AS EMA, Room

11002

Email: aeleonard@ema.as.us

1734 Governor's

Highway

Capital City, AS

40028

PROCEDURES	SAMPLE DATA I	FOR THE	SCOPE OF WORK
	Alternate State Point o	f Contact:	
	Susan Smith	Job Title:	Senior EMA Planner
	Emergency Management Agency	Telephone	: (222) 822-4456
	AS EMA, Room 11002	Fax:	(222) 822-1100
	1734 Governor's Highway	Email:	sesmith@ema.as.us
	Capital City, AS 40028		
	Application Preparer:		
	Pat Keach	Job Title:	Community Development Specialist
	City of Adversity, AS	Telephone:	(111) 711-0671
	City Hall, Room 430 35003 Tornado Alley	Fax:	(111) 711-0600
	Adversity, AS 40009	Email:	pkeach@adv.as.us
 NFIP community name, Community Identification Number (CID), participation status, and compliance history. 	NFIP Community Name: City of Adversity, located in Prosperity County in the State of Any State (AS); CID = 006006.		
	NFIP Participation Sta Last Community Assista	tus: Participance Visit con	ating since June 1974. nducted in January 2000.

SAMPLE DATA FOR THE SCOPE OF WORK

enforcement of these regulations has eliminated construction of new or substantially improved homes in floodplains. The City's zoning includes buffers around floodplains and only allows for recreational or open space use within floodplains.

<u>Compliance History:</u> The City has adopted the minimum floodplain management criteria required under the NFIP. Although uncontrolled construction of homes occurred prior to

the establishment of the floodplain regulations, current

- NFIP, including claim amounts for structures that will be protected by the project; and Repetitive Loss properties insured under the NFIP. Repetitive Loss properties are those that have experienced at least two claim payments of over \$1,000 each within any 10-year rolling period. Loss dates within 10 days of each other are counted as a single claim. A primary focus for FEMA's mitigation programs is reduction of costs under the NFIP, particularly through the elimination of repetitive loss properties. The applicant may obtain repetitive loss information through the State.
- (Include all NFIP policy numbers, with addresses, in a table similar to the Sample Property Inventory Summary).

 Information clearly defining the location of the proposed project, including latitude and longitude. Attach mapping showing the location of the project. Acceptable formats include recent topographic mapping or aerial photographs, and U.S. Geological Survey (USGS) quadrangle maps. Also, note the existence of any deeds or restrictions that might limit Federal funding for the project. The project is located in the southwestern section of the City of Adversity, within the Hillcrest subdivision. The attached aerial map (dating from 1997) shows the locations of project, with GPS coordinates given for each structure.

- Identification of any FEMA grant funds previously received for the project. For example, if the facility was damaged during an event that the President declared to be a disaster, the applicant may have received a grant to repair the facility under the FEMA Individual Assistance program.
- A description of any projects or components of this project, whether funded by FEMA or another entity, that will be completed in the vicinity of the project. Projects in the same watershed should be considered. FEMA must evaluate cumulative effects of projects when conducting the environmental review.
- If the value of the addition or improvement to the house is less than 50 percent of the fair market value (FMV) of the existing structure, it is only necessary to make sure that the improvement meets or exceeds the standards that were used in constructing the existing structure (assuming the existing structure was built in compliance at the time it was constructed).

Additions or other improvements valued at 50 percent or more of the FMV of the existing structure are considered substantial improvements. In such cases, the entire structure must be brought into compliance with the elevations in the FIS in effect at the time the improvement begins. However, there may be more stringent State or local regulations that take precedence over requirements of the NFIP. Regardless of whether the building is substantially improved, it may be necessary to get a

SAMPLE DATA FOR THE SCOPE OF WORK

The City has not previously received disaster assistance for repairs or elevations of these structures. However, the City received assistance from FEMA for emergency response and debris removal following the Presidential disaster declaration for the 03/28/02 flood event.

No other projects are currently proposed for the project area or the watershed in which the project is to be implemented.

None of the residences to be elevated involve substantial damage or substantial improvement.

SAMPLE DATA FOR THE SCOPE OF WORK

building permit to make the improvement and to contact the local building official.

• In general, the minimum NFIP floodplain management regulations outlined in 44 Code of Federal Regulations, Section 60.3 require that:

For all new and substantially improved buildings in Zone A areas:

- All new construction and substantial improvements of residential buildings must have the lowest floor (including basement) elevated to or above the BFE.
- All new construction and substantial improvements of non-residential buildings must either have the lowest floor (including basement) elevated to or above the BFE or dry-floodproofed to the BFE. Dry floodproofing means that the building must be designed and constructed to be watertight, substantially impermeable to floodwaters.
- Buildings can be elevated to or above the BFE using fill, or they can be elevated on extended foundation walls or other enclosure walls, on piles, or on columns.
- O Because extended foundation or other enclosure walls will be exposed to flood forces, they must be designed and constructed to withstand hydrostatic pressure otherwise the walls can fail and the building can be damaged. The NFIP regulations require that foundations and enclosure walls that are subject to the

SAMPLE DATA FOR THE SCOPE OF WORK

100-year flood be constructed with flood-resistant materials and contain openings or vents that will permit the automatic entry and exit of floodwaters. These openings allow floodwaters to reach equal levels on both sides of the walls and thereby lessen the potential for damage. Any enclosed area below the BFE can only be used for the parking of vehicles, building access, or storage.

In addition, to the above requirements, communities are required to select and adopt a regulatory floodway in riverine Zone A areas. The area chosen for the regulatory floodway must be designed to carry the waters of the 1-percent-annual-chance flood without increasing the water surface elevation of that flood more than one foot at any point. Once the floodway is designated, the community must prohibit development within that floodway which would cause any increase in flood heights. The floodway generally includes the river channel and may include adjacent floodplain areas. This requirement has the effect of limiting development in the most hazardous and environmentally sensitive part of the floodplain.

For all new and substantially improved buildings in Zone V areas:

 All new construction and substantial improvements of buildings must be elevated on piles and columns so that the bottom of the lowest horizontal structural member of the lowest floor is elevated to or above the BFE. No fill can be used for structural support.

SAMPLE DATA FOR THE SCOPE OF WORK

- All new construction and substantial improvements of buildings must be properly anchored to resist flotation, collapse, and lateral movement.
- In Zone V, the velocity water and wave action associated with coastal flooding can exert strong hydrodynamic forces on any obstruction to the flow of water. Standard foundations such as solid masonry walls or wood-frame walls will obstruct flow and be at risk to damage from high-velocity flood forces. In addition, solid foundation walls can direct coastal floodwaters into the elevated portion of the building or into adjacent buildings. The result can be structural failure of the building. For these reasons, the area below the lowest floor of the elevated building in a Zone V area must either be free of obstruction constructed with open wood lattice-panels or insect screening or, be constructed with non-supporting/nonload bearing breakaway walls which meet applicable NFIP criteria. Any enclosed area below the BFE can only be used for the parking of vehicles, building access, or storage.
- o In order to further protect structures from damaging wave impacts, structures must be located landward of the reach of mean high tide. Furthermore, man-made alteration of sand dunes and mangrove stands, which would increase potential flood damage, are prohibited within Zone V.

SAMPLE DATA FOR THE SCOPE OF WORK

In responding to the public's desire to have an enclosed area below an elevated building, but recognizing the potential risks to lives and property, the NFIP floodplain management regulations permit certain limited uses of enclosures below the lowest floor in Zones A or V. Under the NFIP, the enclosed area below an elevated building in an Zones A or V can only be used for the parking of vehicles, building access, or storage. The allowance of these uses below the BFE is permitted because the amount of damage caused by flooding to these areas can easily be kept to a minimum by following the performance standards for the design and construction of enclosures in Zone A or V areas described above and by using floodresistant building materials. To further minimize flood damages, mechanical, electrical, plumbing equipment, and other service facilities must be designed and/or located above the BFE so as to prevent damage during conditions of flooding.

- A description of the proposed mitigation project that is the preferred solution (i.e., Solution No. 1). Identify the elevation method to be used for this project. Options for elevation include fill, crawl space, piers or support posts, and upward extensions of existing basement walls.
- A description of the problem that will be solved through implementation of the elevation project.

Solution No. 1 (Preferred Solution)

The proposed project will elevate 6 residential properties located in the Hillcrest subdivision in the southwestern area of the City. To date, 4 of the affected property owners in the Hillcrest area have expressed an interest in elevating their homes.

The proposed project will reduce future flood damages, health and safety risks, clean-up costs, and displacement time for the homeowners. The elevations will also bring cost savings to the NFIP through reduced flood insurance claims.

PROCEDURES	SAMPLE DATA FOR THE SCOPE OF WORK
• A description of how the proposed elevation project will solve the problem.	The future flood damages will be reduced by elevating the first floor of each of the 6 structures to elevations that are 1.5-feet above the 100-year flood.
• The scheduled completion date for the project.	The City anticipates completing the project by October 2006. This assumes approval of the grant application by October 2005.
• If applicable, the community's Community Rating System (CRS) status and the status of the FMA plan. This information should include the date that FEMA approved the FMA plan and a reference of how this project is included in the plan.	The City does not participate in the Community Rating System.
• If applicable, the status of the community's multi-hazard mitigation plan should be provided. If the community has a plan, but no recorded approval such as a letter from FEMA, a copy of the plan may be required.	The City is a signatory to the multi-jurisdictional plan prepared for Prosperity County. The City adopted the plan on July 1, 2003, and the plan was approved by FEMA on September 1, 2003. This plan includes a specific section addressing flood mitigation and repetitive loss properties.
• If the community has an approved multi-hazard plan, the application should reference how the plan recommends mitigating the problem.	The July 1, 2003, multi-jurisdictional plan for Prosperity County referenced reduction of the flood hazard in the Hillcrest subdivision as one of the mitigation action items for the City of Adversity.
Additional information that will be required to support the project application is described below. Include any information, such as photographs, newspaper accounts, damage surveys, substantial damage determinations, homeowner receipts for repairs, or NFIP claims information.	

SAMPLE DATA FOR THE SCOPE OF WORK

2.2 STEP 2: GATHER HAZARD INFORMATION FOR THE PROJECT AREA

2.2.1 NFIP Flood Hazard Data

Information regarding flood hazards can usually be obtained from the NFIP maps for the community in which the project is located. Floodplain boundaries and flood elevations are shown on the FIRM for the community. Additional information, including flood profiles (a graph showing the relationship of the water surface elevation of a flood event to a location along a body of water) and supporting technical information, may be found in the accompanying FIS report. FIRMs and FIS reports may be obtained through the FEMA Map Service Center at www.msc.fema.gov or by calling a toll free number at 800-358-9616.

Along with other information, FISs and FIRMs provide information regarding the 100-year flood, also known as the base flood, which is the flood having a 1 percent chance of being equaled or exceeded in any given year. On FIRMs, the 100-year floodplain in areas where riverine flooding sources have been studied by detailed methods is designated Zone AE (or on older maps, the designation may be a numbered Zone A, such as "Zone A1"). The 100-year floodplain in coastal areas is designated Zone VE (or as a numbered Zone V on older maps). Zone VE, also known as the Coastal High Hazard Area, will have more stringent construction standards. FIRMs may also show the Base Flood Elevation (BFE), which is the expected elevation (relative to a datum, usually sea

The proposed project location, the Hillcrest subdivision in southwestern Adversity, is a relatively flat lowland area with an overall slope towards the river. The land adjacent to the river is 1 to 5 feet above normal (non-flood) river level, with a sharp rise adjacent to the water. Two properties are located within the FEMA-designated floodway for the Quake River, and the remaining four lie within the 100-year floodplain shown on the FIRM.

The First Floor Elevations (FFEs) of these properties range from 89 to 102 feet National Geodetic Vertical Datum of 1929 (NGVD).

The base flood elevations range from 92 to 110 feet NGVD.

The effective FIRM for the project area is from the FIS for the City of Adversity, CID No. 006006, Panel 010C, and dated March 6, 1994 (See attached FIRM).

SAMPLE DATA FOR THE SCOPE OF WORK

level) of the 100-year flood.

If BFEs are shown on the FIRM, the corresponding FIS may contain profiles of the 10-, 50-, 100-, and 500-year flood elevations for the flooding source in question. Using features such as bridges and lettered cross-sections that are labeled on the profile, it is possible to measure to a location on the profile adjacent to the project area and read the BFE from the profile. To determine the distance a structure may be located from a road or bridge, measure the distance on the FIRM along the centerline of the river and then find the corresponding location on the flood profile. This is an acceptable method for obtaining a BFE.

The FIRM may also show a floodway for the flooding source. The floodway designates the portion of the 100-year floodplain that represents the area of highest conveyance and flow velocity, and therefore the area that poses the greatest risk for flood damage. If a property is located in a floodway, this fact should be noted in the application.

If a floodplain is simply designated "Zone A" (for riverine areas) or "Zone V" (for coastal areas), it was determined by approximate methods, and therefore does not have a corresponding BFE on the map. In such cases, it is necessary to develop flood hazard data for the project location.

The following data should be submitted with the application:

 A copy of the FIRM with FIRM title block, including the NFIP CID number, effective date, and panel number and suffix. The FIRM should be copied at the same scale as See attached FIRM (at same scale as original FIRM) and copy of local topographic map (based on an aerial survey from April 1997) with project site marked in red on each map.

There are no previous or pending FEMA map revisions

other maps of the project area. The applicant should determine if the community has requested a revision to these maps that has not yet been incorporated, and if so, whether the change would affect the property.

- A copy of the FIS profile with the location(s) of the structures to be elevated clearly marked. In cases where local flooding or ponding appears on the FIRM, it is likely that the flood hazard was identified by approximate methods, and no flood profile exists.
- The data used to develop the BFE if the floodplain was determined by approximate methods on the FIRM. The BFE should be used to delineate the corresponding floodplain on the topographic map for the applicant's area.

To determine the discharge of a river at a given location, a hydrologic model, such as a rainfall-runoff model; or a simplified method, such as use of U.S. Geological Survey (USGS) regression equations, can be used. To determine the flood elevations for the stream, hydraulic models or other normal depth calculations can be used. A qualified professional engineer or hydrologist must prepare this information.

SAMPLE DATA FOR THE SCOPE OF WORK

requested for the Quake River.

A copy of the flood profile from the FIS for the City of Adversity (Panel 04P, dated March 6, 1994) for the Quake River is attached. The profile has been marked in red to show the upstream and downstream boundaries of the project site.

(No information is required here if the flood data from the FIS is used to determine the BFEs for the project site).

SAMPLE DATA FOR THE SCOPE OF WORK

2.2.2 Flood History

The applicant should document the history of flooding problems in the project area. Essential information includes date(s) of flooding events, flood characteristics, flood location, duration and extent of flooding, and cost of damage. Other key data include:

Many residents in Adversity live on or near the river, and approximately 1,500 property owners have suffered flood damage since records have been kept. Approximately 500 property owners suffer damage or fight rising waters regularly (every 5 to 10 years). Most recently, the Quake River flooded in March 2002, causing significant damage to over 500 residential properties.

Due to Adversity's proximity to the Quake River, the community has experienced flooding on an average of once every 3 to 5 years for over half a century.

Additionally, the cost of damage from each flood event is increasing from an average of \$2.4 million between March 1939 and February 1970, to an average of \$14.4 million between March 1970 and March 2002. (See the attached FIS data for a more detailed history of the flooding.)

Flood elevation, discharge, and flood frequency data including the source and methodology used to determine the frequency. Also, include nearby high watermarks (if available) and any interior highwater marks that show the depth of flooding above the first floor elevation (FFE) in the structure(s).

There was only one flood exceeding the 100-year flood elevation of 110 feet between March 1939 and May 1978, but three floods have exceeded this level since 1970, which suggests that the severity of the flooding is increasing. The largest recorded floods include (frequencies were estimated by a State hydrologist):

03/26/39 – Peak flood elevation of 99.5, 11,760 cfs, 55-year flood

04/05/54 – Peak flood elevation of 114.5, 18,800 cfs, 120-year

PROCEDURES	SAMPLE DATA FOR THE SCOPE OF WORK
 Cost of damage to buildings, contents, and infrastructure (broken out by each of these three components, if possible). Whether use of structures, public services, utilities, roads or bridges was lost, and if so for how long. 	flood
	07/20/63 – Peak flood elevation of 97.1, 8,500 cfs, 40-year flood
	05/25/78 – Peak flood elevation of 102.3, 13,400 cfs, 70-year flood
	03/12/96 – Peak flood elevation of 101.9, 12,000 cfs, 65-year flood
	03/28/02 – Peak flood elevation of 99.0, 11,200 cfs, 50-year flood
	Note: The amounts listed below represent total damages reported (combined buildings, contents, and infrastructure).
	03/26/39 – Reported damages of \$900,800.
	04/05/54 – Reported damages of \$4,400,000.
	07/20/63 – Reported damages of \$2,700,000.
	05/25/78 – Reported damages of \$7,800,000.
	03/12/96 – Reported damages of \$20,200,000.
	03/28/02 – Reported damages of \$24,700,000.
	Average loss of public services is 3 days per event.
	Average loss of electricity, water, and natural gas is 7 days per event.

SAMPLE DATA FOR THE SCOPE OF WORK

Two bridges were out of service during the 1978, 1996, and 2002 floods, with average detour of 20 minutes per trip (40 minutes per round trip), for a distance of 10 miles for approximately 75 one-way vehicle trips per day.

The depth damage functions in the FEMA Riverine Full Data BCA module are representative of the damages for this project.

- Depth-damage functions (that is, percent damage of the building replacement value at each flood depth) for the structures to be elevated, if available.
- Specific data for the structures to be elevated (discussed in more detail in Section 2.3).

The FEMA FIS may provide historical flood information. However, other potential sources of this information include:

- Association of State Flood Plain Managers (ASFPM), (www.floods.org)
- National Weather Service (NWS), (www.nws.noaa.gov)
- Natural Resources Conservation Service (NRCS), (www.nrcs.usda.gov)
- U.S. Army Corps of Engineers (USACE), (www.usace.army.mil)
- U.S. Bureau of Reclamation (BOR), (www.usbr.gov)
- U.S. Geological Survey (USGS), (<u>www.usgs.gov</u>)
- State water resources agencies.

SAMPLE DATA FOR THE SCOPE OF WORK

Note: The Federal agency website addresses above are for the agency national headquarters. The websites contain links to agency offices or districts within individual States. The ASFPM website contains mitigation information and links to State NFIP Coordinating offices (which may also have useful flood data) and State agencies.

2.3 STEP 3: PREPARE A PROPERTY INVENTORY

An inventory of buildings that are to be elevated must be developed. This inventory should be updated as new information is collected or property owners decline to participate in the program.

Property owners may initially express interest in the elevation program but subsequently decide not to take part. In some cases, these withdrawals occur after FEMA has approved grant funding. Consequently, the inventory should include alternate buildings that may be elevated when withdrawals occur.

For each property, the community should complete a Property Owner Questionnaire. Record all relevant information that led the community to decide to include this building in the project, attaching at least two color photographs of the main structure (from two different sides). Specific data regarding the building and its flooding history should be collected, including:

• NFIP insurance policy number.

Note: Sample data is for one structure while the property inventory will contain similar information for all structures to be elevated.

Property: Parcel No. 301-0011-008, 1375 Hurricane Way

Property owner and co-owner information: Jerome Cress

Mailing address: P.O. Box 7510, Adversity, AS 40233

Daytime Telephone Number: (111) 228-7725.

NFIP Policy Number: 8973987468

- Building type, number of stories, foundation type (slab, basement, pier or post, crawl space) and floor area.
- Elevation data for each structure. Determine the lowest floor elevation (excluding basement) above ground level, and the lowest adjacent grade of the ground of each building in the project area, and compare them to the corresponding BFE. If a structure includes a basement, provide the elevation of the basement floor and determine whether the basement is a fully finished, livable space. For substantial improvement requirements, the basement floor should be considered the lowest floor for compliance. However, for a BCA, the basement should only be used as the lowest floor if it is a fully finished, livable space.

A state licensed land surveyor (LLS) or state registered professional engineer (PE) must obtain and record this information. If first floor elevations or flood elevations are estimated from available sources, the community must document the way in which the estimates were derived and submit the documentation.

• The water depth above the lowest floor for each flood.

SAMPLE DATA FOR THE SCOPE OF WORK

This property is a two-story, single-family home without a basement. It was built in 1971 on a concrete slab foundation. The total area of the house is 1,680 square feet. There was one building permit issued for the residence in 1992 to add an outdoor deck onto the house.

Note: The elevation data for 1375 Hurricane Way was obtained from the FEMA Elevation Certificate on file with the City. Elevation data for other structures in the Property Inventory were either obtained from the Elevation Certificates on file or by licensed land surveyors for this project.

The BFE along the Quake River for this structure is 102.0 NGVD 1929

The lowest floor elevation is 91.0 feet NGVD 1929.

The FFE for the building is 91.0 feet NGVD 1929.

The lowest adjacent grade to the structure is 89.3 feet NGVD 1929.

The flood elevation for the 2002 flood was 99 feet NGVD 1929.

1978 – 1.5 feet of water above the first floor

1996 - 0.3 feet of water above the first floor

2002 – 8 feet of water above the first floor

SAMPLE DATA FOR THE SCOPE OF WORK

• The amount of damage, as a percentage of the pre-event building replacement value (BRV) that would result in demolition. The standard amount of damage used in a BCA, for structures not on the National Registry of Historic Structures, is 50 percent. Values other than 50 percent must be documented and justified.

The amount of damage that would result in demolition is the FEMA standard value of 50 percent.

Replacement value of contents and the method used for determining the value. The standard FEMA value for residential structures is 30 percent of BRV of the structure, or a minimum of \$20,000, whichever is greater. Contents values that exceed 30 percent should include documentation, such as homeowner receipts for replacement contents, as justification.

The standard FEMA contents replacement value of 30 percent of the BRV of the structure was used in the benefit-cost analyses.

• Loss information, including all documented NFIP claims.

Copies of NFIP and other insurance claim data for each structure in the Property Inventory are attached (when available).

• Information for Repetitive Loss properties insured under the NFIP. The applicant should provide data for subject properties that have previously been identified on the Repetitive Loss property lists that FEMA shares with the States. FEMA will verify the status of the identified properties on the Repetitive Loss list for the State. Repetitive Loss properties are those that have experienced at least two claim payments of over \$1,000 each within any 10-year rolling period. Loss dates within 10 days of each other are counted as a single claim.

The structures in this application that appear on the State Repetitive Loss property list are identified by "(RL)" next to the street address in the attached Property Inventory Summary.

 The community should collect latitude and longitude for each property to assist FEMA with future tracking of mitigation projects.

Note: Some of these properties will not be substantially damaged but may be repetitive loss.

2.4 STEP 4: DEVELOP ALTERNATIVE SOLUTIONS TO THE PROBLEM

FEMA will evaluate the project for feasibility and cost-effectiveness, and the applicant must describe why the proposed project is the best solution to the problem. In addition, because the project will be considered for funding under a Federal program, it will be subject to review under the National Environmental Policy Act (NEPA) and Executive Order 11898, both of which require that any reasonable alternative be evaluated along with their impacts. Consequently, the applicant should document the alternatives that were considered for meeting the purpose and need for the project. NEPA and other environmental requirements are further discussed on Section 2.9 of this document.

Typically, at least three alternative solutions to the problem should be considered. One of the alternatives should be the proposed elevation project. The analysis should also consider the "no-action" alternative; this alternative reflects conditions that would exist if no further action were taken. For example, what would be the consequences if the structures in question were not purchased or otherwise mitigated? Finally, consider at least one additional alternative. For example, could the

SAMPLE DATA FOR THE SCOPE OF WORK

Latitude and longitude are only available for the structures surveyed for this project and not for that structure that had FEMA Elevation Certificates on file (data attached).

Solution #2: Structure Acquisition

Acquisition would solve the flooding problems if the homeowners were agreeable to moving. The six homeowners have informed the city that they do not want to leave the neighborhood or the nearby schools. They also will be unable to afford the costs of nearby replacement lots or costs for extending city water, gas, and electricity to these lots.

In addition, the city at first considered an acquisition program. The plan was dropped after the first three purchase offers, which were prepared by licensed appraisers, were rejected by the homeowners as being 20% to 30% too low in their estimation.

There will be minimal reductions in emergency response costs for the city due to the remaining 20 houses in the Hillcrest Subdivision that have first floor elevations near or above the BFE.

structures in question be acquired to prevent future flood damages?

For each alternative, consider the local hazard mitigation goals, the project cost, economic benefits, potential for repetitive damage, environmental impacts, and public health and safety risks. Document alternatives that are not preferred over the proposed alternative and provide reasons.

2.5 STEP 5: ESTABLISH A METHOD FOR DETERMINING BUILDING VALUES

When funding elevation projects, FEMA requires communities to establish and document a fair market value (FMV) derived from a reasonable methodology that has been applied consistently throughout the community. The community must also decide if the pre- or post-flood value of the property is to be used for determining building values. Two methods of calculating FMV are acceptable:

SAMPLE DATA FOR THE SCOPE OF WORK

Solution #3: No Action

Calculations based on the history of flooding in Adversity indicate that, with no action, Adversity could expect to suffer further damage, injury, or death of even greater magnitude in the future. Assuming property owners would collect a similar amount of disaster assistance every five years, and assuming a 100-year lifetime of the project, the no action alternative could result in future disaster assistance payments of approximately \$2,750,000 over the next 100 years.

The No Action option does nothing to reduce or eliminate future risk to City residents, damage to their property, the need for emergency response, and it does not offer a means to reduce or eliminate the need for future disaster assistance.

The FMVs will be based on pre-disaster building values. The City of Adversity used property tax assessment data to estimate a working budget. However, we plan to base final purchase offers on appraisals completed by a certified professional appraiser from Prosperity County. For those property owners who are interested in obtaining a second appraisal, the City will provide a list of appraisers from the County whose appraisals will be considered acceptable.

SAMPLE DATA FOR THE SCOPE OF WORK

• Appraisal. Professional appraisals typically yield the most accurate results. Methods include inspections, comparisons with similar properties in the neighborhood, checking building permit information, and reviewing documentation of recent improvements. Appraisals must show the breakdown of the value of the structures and the value of land. For elevation projects, the applicant may only use the value of the structures for the FMV and cannot include the land value.

The community must allow homeowners to dispute the appraisal and provide a second appraisal. Typically, the community requires the homeowner to pay for a second appraisal.

• Use of tax assessor's records. Because tax assessments are not usually computed annually, they tend to be lower than the true value. Therefore, use of tax assessment data requires a percent adjustment factor based on the normal adjustment procedures used in the county or community where the structure is located. The percent adjustment factor updates the assessed tax value to the current FMV.

The selected method for determining building values must be used consistently for all participating property owners. Generally, pre-event market value is only used up to one year after the disaster occurs.

If the owner bought the property after a flood, the community cannot offer the new property owner more than the post-flood value of the property, regardless of whether other property Certified appraisals will be used for the final purchase price.

Copies of tax records for each property in the project are attached. This data includes the adjusted tax value for the current year and the multiplier used to estimate FMV.

All properties involved in this project were purchased prior to the 2002 flood by the owners that indicated they will probably participate in the elevation project.

SAMPLE DATA FOR THE SCOPE OF WORK

owners will be offered pre-flood market value.

2.6 STEP 6: PREPARE A BENEFIT-COST ANALYSIS

A BCA is FEMA's method for determining whether structure elevations are cost-effective, and therefore, a viable option for flood mitigation. It is recommended that a preliminary BCA be completed using rough estimates of the project costs and benefits to evaluate the project. Once a detailed scope of work had been determined, a more thorough and precise BCA should be prepared using specific data. A complete BCA is required for all FEMA-funded mitigation projects.

The BCA is completed using the flood hazard information and flood history for the project area, the property inventory, and the estimated project costs. All information used to prepare the BCA must be documented, including data sources, dates, assumptions, and analysis procedures. Data from recognized sources such as FEMA FISs, the USGS, the USACE, and State agencies have a high degree of credibility. When local data are used, supporting documentation from an engineer or other qualified source must be submitted.

The following information presents general guidance regarding the application of BCAs to elevation projects. More detailed information regarding BCAs may be obtained from the FEMA website at www.fema.gov/fima/pdm.shtm or by obtaining the *FEMA Mitigation BCA Toolkit CD*, dated January 2005.

FEMA has also established a BCA Helpline, which can be reached through a toll free number **866-222-3580**, or by email

SAMPLE DATA FOR THE SCOPE OF WORK

at bchelpline@dhs.gov.

Along with the BCA for each property or group of similar aggregated properties, the applicant should include a completed Data Documentation Template (DDT) to support the values used in the BCA. The DDTs are available on the *FEMA 2005 Mitigation BCA Toolkit CD*, dated January 2005.

During 2003, FEMA introduced a pilot program that allows a simplified, alternate, FEMA-approved methodology to conduct BCAs for certain repetitively flooded properties insured under the NFIP. This pilot effort is designed to support the mitigation of these NFIP-insured structures by providing a framework that allows States, Tribal, or local government applicants to use NFIP-provided data to determine the "benefits" portion of the BCA to demonstrate cost-effectiveness of proposed mitigation projects.

Applicants requesting mitigation funds may use this alternative cost-effectiveness methodology and data for any project meeting the guidelines described herein from the date of this memorandum through September 30, 2004, or until the pilot NFIP repetitive loss properties list is superseded by updated data.

Generally, applicants use a FEMA-approved software-based BCA to determine the cost-effectiveness of projects. The pilot alternate methodology applies to all FEMA Mitigation Grant Programs including: FMA, HMGP, PDM, and Supplemental Mitigation Grants. Projects submitted for consideration under any of these programs must adhere to all requirements set forth in the various governing statutes and program

The City did not use the alternate BCA approach because the City had no properties on the FEMA Repetitive Loss list that accompanied the guidance.

SAMPLE DATA FOR THE SCOPE OF WORK **PROCEDURES** regulations. 2.6.1 Using FEMA's BCA Modules The FEMA Full Data Riverine BCA module was used for the FEMA has developed software and guidance to prepare BCAs BCAs prepared for this project. The FEMA default values for in accordance with agency requirements. The BCA software the depth damage functions and the percentage of damage involves modules for different hazards, including floods. resulting in demolition were used in the analyses. Applicants are encouraged to use the FEMA BCA software to ensure that the calculations and methods are standardized. Alternative BCA software may be used only if approved by FEMA in advance of submitting an application based on the alternative software. Many of the FEMA BCA modules contain standard or default The default values in the FEMA Riverine Full Data BCA data. Use of such data is acceptable as long as the data are module were used in the analyses for this project. applicable to the specific structure elevation project being proposed. Several of the modules are applicable for elevation projects. The Riverine Limited Data Module is a frequency-damage module that can be used for areas without quantitative flood hazard data, such as areas outside of mapped floodplains on the FIRM, areas studied by approximate methods, or situations where lowest floor elevation data are not available. The information needed to complete this module may include, but is not limited to: Documentation of event frequency. See the information cited in Flood History and the attached copies of the effective FIRM and FIS flood profiles, which have been marked in red to show the property locations.

SAMPLE DATA FOR THE SCOPE OF WORK

• Damage and losses in high frequency events (1- to 10-year recurrence interval); moderate frequency events (10- to 50-year recurrence interval); and low frequency events (greater than a 50-year recurrence interval).

See the information cited in Flood History and the attached copies of City and State damage estimates, contractor estimates for repairs, and homeowner receipts (by Tax Parcel ID number) for expenses associated with the last two flood events (03/12/96 and 03/28/02).

 Damages or losses with high value. Possible sources of information include damage surveys, substantial damage determinations, homeowner receipts for repairs, and NFIP claims amounts. The damages were not broken out as high or low damages.

Estimates of deaths and injuries due to flood events.

There were no deaths and documentation for the injuries is explained under the "Benefits" category below. The values used for injuries were in accordance with the FEMA guidance provided on the *FEMA Mitigation BCA Toolkit CD*, dated January 2005.

The Riverine, Coastal A-Zone, and Coastal V-Zone Full Data Modules use quantitative data to determine the frequency and severity of flood events, and engineering data to calculate damages and losses before and after mitigation. Given the proper input data, the results are more accurate than those obtained with the Limited Data Module. Common data inputs may include, but are not limited to:

SAMPLE DATA FOR THE SCOPE OF WORK

- Building data for structures to be protected by the project, including:
 - o Type of building (residential, commercial, public).
 - o Building size (area in square feet).
 - o Replacement value (\$ per square foot).
 - o Replacement value of contents and the method used for determining the value. The standard FEMA value for residential structures is 30 percent of the building replacement value (BRV) of the structure, or a minimum of \$20,000, whichever is greater. Contents values that exceed 30 percent should include documentation, such as homeowner receipts for replacement contents.
 - o Lowest floor elevation (excluding basement, unless the basement is a fully-finished, livable space), and lowest adjacent grade to the structure. Ideally, FEMA Elevation Certificates should be prepared by a state Licensed Land Surveyor (LLS) or state registered Professional Engineer (PE) must obtain and record this information. If these elevations are estimated from available sources, the community must document the way in which the estimate was derived and submit the documentation.
- Flood elevation data (typically 10-, 50-, 100-, and 500-year flood elevations), which can be found using the flood profiles in the FIS or in other acceptable sources of flood

See the Property List attached to the City's application.

The City used the FEMA standard contents value of 30 percent of the BRV.

See the information cited in Flood History and the attached copies of the effective FIRM and FIS flood profiles, which

	PROCEDURES	SAMPLE DATA FOR THE SCOPE OF WORK
	information from the USACE, NRCS, USGS, or State water resources agencies.	have been marked in red to show the property location.
•	Flood discharge data, which can be found in the FIS or obtained from other Federal or State agencies.	
•	FEMA Elevation Certificates or other elevation documentation, certified by a LLS or a registered PE should be provided as support data for all FFEs. The LLS or PE must be licensed in the State where the proposed project will occur.	FEMA Elevation Certificates that provide the FFEs are included for all structures involved in the proposed project.
•	Depth-damage functions (if BCA default data are not used).	The default BCA values for the depth-damage function were used.
•	Building damage percentage resulting in demolition. The standard amount of damage used in a BCA for structures not on the National Registry of Historic Structures is 50 percent. Values other than 50 percent must be documented and justified.	All of the interested property owners have agreed to 50 percent as the amount of damage per structure that would result in demolition.
•	Displacement times and costs for displaced tenants (if default values are not used).	The average displacement time for the six structures in this project was 18 days during the 1996 flood and 25 days during the 2002 flood.
•	Net business income (if the building houses commercial activities).	There are no businesses or public/non-profit agencies involved in this project.
•	Annual operating budget (if the building houses public/non-profit services).	

SAMPLE DATA FOR THE SCOPE OF WORK

2.6.2 Preparing the BCA

Considerations for preparing the BCA are described below. It should be noted that net social benefits and total costs (both Federal and local share), as opposed to the benefits and costs to the Federal Government, should be the basis for evaluating whether a project is cost-effective. Therefore, all social benefits would be considered for minor structural flood control projects, not just benefits for repetitive loss properties.

2.6.2.1 Benefits

The benefits of elevating structures are the reduction in the avoided damages, losses, and casualties that would occur if the residents continued to occupy the structures at the current elevations. For example, if the project area has been flooded 10 times, and the structures received damages each time, then elevating the structures to 1-foot above the 100-year flood would lessen the need to repair these structures in the future.

Examples of common benefits include avoided (or reduced):

- Damages to the residences and contents, including public buildings, commercial structures, and residences, as well as damage to nearby roads and other infrastructure serving the residences.
- Displacement costs incurred by residents living elsewhere while homes are repaired.
- Emergency response costs for police, fire, and other public

The benefits claimed for residential structure and contents damages, displacement costs, and injuries (there were no deaths) are documented with the attached benefits data. The data followed the guidance from the Data Documentation Templates on the *FEMA Mitigation BCA Toolkit CD*, dated January 2005.

PROCEDURES	SAMPLE DATA FOR THE SCOPE OF WORK
services when the residences are flooded.	
 Removal of debris from streets and public areas such as municipal parking lots and recreational areas. 	
Loss of utility services to the residences, as well as repairs to damaged utilities.	
• Economic losses resulting from displacement of commercial or light industrial uses of the structures.	
• Deaths and injuries. Casualty benefits cannot be counted for flooding, except in flash flooding or dam failures, because residents are usually given sufficient warning time to evacuate their homes. Refer to the Mitigation BCA Toolkit for current statistical values for deaths, major injuries, and minor injuries.	
Multiplier" effects cannot be counted. A multiplier effect is in indirect or secondary benefit such as tourism or ecreational benefits.	The benefits claimed here do not involve secondary benefits.
2.6.2.2 Project Costs	
All costs should be reflected in the project SOW. Only costs hat are relevant to the implementation of the project should be counted in the total project cost. Project costs should:	
Include all costs associated with the elevation of the structure. These costs should be shown in an itemized cost estimate and include the elevation cost, appraisal fees, permits, debris removal, and costs for modifying existing	A detailed breakdown of the project costs is attached. All costs are relevant to the project (per guidance from the FEMA Regional Office)

PROCEDURES	SAMPLE DATA FOR THE SCOPE OF WORK
deeds to prohibit the use of basements for elevated houses as living spaces	
• Be based on a reasonable estimate – that is, there should be no obvious over- or under-estimate of the true cost of the project. If construction will be completed using a contractor, all elements of the contractor's costs, including overhead and profit, should be included.	Yes.
• Include separate elevation costs and site restoration costs for each structure within the project.	The elevation costs are listed by property address and Tax ID number in the detailed project cost.
 Be based on the same methodology, approach, and local cost multipliers used for other structures within the project. 	Copies of tax records for each property in the project are attached. This data includes the adjusted tax value for the current year and the tax multiplier used to estimate FMV. Five of the interested owners provided their own certified appraisals (which were within 10 percent of the City determined FMVs for those five structures).
• Be calculated using present-day dollars.	Yes.
• Be based on current project and structure information.	Yes.
• Be well documented and from a credible source.	Yes.
• Reflect the total project cost, not just the FEMA share.	Yes.
Costs for an elevation projects include those costs that are eligible for Federal reimbursement, such as appraisals and structure elevations; and non-Federal costs.	The method used by the City for determining elevation costs followed the FEMA guidance.
The additional costs for elevating substantially damaged	

structures may be covered under the NFIP's Increased Cost of Compliance (ICC) insurance coverage. This additional level of insurance coverage exceeds the standard coverage to assist homeowners that are required to bring their pre-FIRM structures into compliance with the NFIP. In some cases, ICC claims may be used to supplement a mitigation-funding request so that additional structures can be elevated. Homeowners can only receive an ICC claim if the community floodplain administrator has determined that their structure has been substantially damaged due to flooding. Information on the ICC can be found in FEMA publication No. 301, Interim Guidance for State and Local officials, Increased Cost of Compliance Coverage. Additional information regarding ICC can be found on FEMA website (www.fema.gov, search word is "ICC").

2.6.3 Cost-Effectiveness

As with all mitigation projects, an elevation project is considered cost-effective if the ratio of benefits to costs is 1.0 or greater. FEMA does not fund projects with a benefit-cost ratio (BCR) less than 1.0. Some States may use the BCR as a ranking factor for selecting projects to submit to FEMA for funding when the number of projects is greater than the available funds. When used as a ranking factor, a project with a higher BCR is considered to be more cost-effective than a project with a lower BCR.

If there is more than one BCA submitted for the project, the applicant should include a calculation page showing how the overall project BCR was calculated. The overall BCR involves the total benefits of all structures divided by the total

SAMPLE DATA FOR THE SCOPE OF WORK

The overall project BCR (total documented benefits divided by total documented costs) is 1.36. There were six properties involved in this project, each with a separate BCA. A spreadsheet is attached that shows the benefits and costs

SAMPLE DATA FOR THE SCOPE OF WORK

costs of all structures.

determined using the FEMA BCA module for each property. The benefits from the six properties were added together and then divided by the total costs to provide an overall project BCR of 1.36.

2.7 STEP 7: PREPARE A SCOPE OF WORK FOR THE PROJECT

The scope of work serves as the basis for FEMA's review of eligibility, feasibility, and cost-effectiveness, and establishes the framework for expenditure of grant funds. The scope of work is described below and should include all elements for implementing the project, from design through project completion.

2.7.1 Prioritization and Preparation

- Determine the criteria for prioritizing properties to be elevated and the policies guiding the elevation, including procedures for determining FMVs (as described above), conducting appraisals, and transferring responsibility for property taxes. Develop policies for appeals, tenant relocation, salvage, and purchase of mobile homes. Criteria will depend on the specific FEMA grant program. For FMA, all projects are considered by FEMA based on cost-effectiveness and future savings to the NFIP.
- Initiate public involvement and contact with property owners. Develop explanatory materials for public distribution and guidance for applying to participate in the process. Conduct interviews with property owners to

The City's criteria for prioritizing properties are attached. There is also an alternate list in case some of the properties on the initial list decline to participate in the elevation project.

The City has used newsletters, newspaper articles, and a neighborhood meeting to announce and discuss the elevation project. An additional public outreach process will be initiated after the grant is awarded and before the final plans are put out

evaluate their willingness to participate and to address concerns. If they are not interested in participating in the elevation program, document the declined offer and reasons for declining participation.

- Prioritize properties to be elevated. Factors include damage history, location relative to flood hazards, willingness of the owners to elevate their structures, preliminary BCAs, and the potential for contamination with hazardous or toxic materials. The elevation plan should be consistent with the local FMA, CRS, or Hazard Mitigation Plan, as appropriate.
- The community should identify the range of proposed increases in first floor elevations (including freeboard).

SAMPLE DATA FOR THE SCOPE OF WORK

for construction bid. Property owners will be informed throughout the process via two town meetings followed by individual letters and meetings with each property owner as desired. Additionally, the Community Development Specialist will contact all tenants to ensure that they understand the process, timeframe, and guidelines for requesting relocation assistance. He/she will also be responsible for maintaining all files and budget tracking for the project.

The City's criteria for prioritizing properties are attached. The general criteria were based on (in order of importance):

- Owners willing to participate.
- Properties located within the floodway.
- Properties with 2 to 3 losses that exceed building FMV or 4 or more losses since 1978.
- Properties with 2 or more insured losses within any 10-year period.
- Substantially damaged properties.
- Properties with the highest BCRs using the FEMA BCA module.
- Properties with the largest amount of damages.
- Properties with the highest depth of flooding above the FFE during the 2002 flood.

SAMPLE DATA FOR THE SCOPE OF WORK **PROCEDURES** A copy of the City's initial spreadsheet for tracking finances is Establish a financial tracking system for incoming grant funds, local matching funds, payments to property owners, attached. and payments for services. The City will be using city, county, and private services for Prepare bid packages for any services that will be additional elevation and property surveys, site investigation, contracted, including site investigations, surveys, and and demolition. The City has obtained preliminary cost structure elevations. estimates from three demolition companies. A draft bid package for the elevation work is attached. **Property Elevation** 2.7.2 Outside legal counsel will be retained to assist with the Retain outside legal counsel to assist with elevations if the city or county attorney is not responsible for the process. elevation process. This will include conducting title searches and dealing with property deeds, liens, mortgages, and other encumbrances. The counsel will also be responsible for monitoring the County appraisers' activity and data. A professional, licensed appraiser will be retained to establish Determine the FMV of the property. If appropriate, initiate the value for each structure. If the owner does not agree with a contract with a professional, licensed appraiser to the appraisal, the City will provide a list of acceptable alternate establish the value for each structure. appraisers that the owners may use at their own expense. Conduct research regarding the duplication of benefits for Each property will also be investigated for possible duplication the property. Adjust the FMV based on the results of the of benefits, and the FMV will be adjusted accordingly. investigation, if appropriate. This only applies if the community is using pre-event FMVs. Duplication of benefits searches only go one year back and then forward from the date that the pre-event FMV was established.

SAMPLE DATA FOR THE SCOPE OF WORK **PROCEDURES** Conduct title searches and appraisals for each property to A title search will be conducted for each property to ensure that the owner elevating the structure is also the titleholder. ensure that the owner elevating the structure is also the titleholder. Ensure that the title is clear at the time the project begins, that is, that no mortgages, outstanding liens, incompatible easements, or other encumbrances exist on the property. A licensed land surveyor will conduct a survey for each lot Conduct additional surveys, as necessary, such as lot line surveys or detailed investigations for the presence of where property boundaries are in question. Given the land use history of the project area, hazardous or toxic materials are not hazardous or toxic materials. expected to be present. Therefore, a detailed investigation for the presence of hazardous or toxic materials will not be required. The property owner must accept the community's offer if The owner must sign a statement of voluntary participation in the elevation program. If the property owner accepts the offer, the process is to proceed. If the property owner accepts the the property will be inspected. offer, inspect the property to ensure hazardous materials have been abated. The agreements will be signed. The City will pay the recording Sign the elevation agreement. The community will pay the fees and the evidence of title and legal description. The recording fees and evidence of title and legal description. property owner will pay the fees for clearing existing The property owner will pay the fees for clearing existing mortgages, liens and encumbrances on the deed, as well as past mortgages, liens, and encumbrances on the deed, as well or present property taxes. The structure will become deed as past or present property taxes. restricted for the area below the first floor based on guidance from FEMA. • If any of the structures are occupied by renters, provide Of the six identified properties, two have tenants. Once closing has been completed, tenants will be given 90 days to vacate the relocation assistance, if the tenants are eligible, and

PROCEDURES	SAMPLE DATA FOR THE SCOPE OF WORK			
require that the property be vacated within a set time period.	property. Up to \$6,000 in relocation assistance will be provided. Services covered include temporary lodging, reasonable increases in utilities and rent for a defined period time, and apartment search services.			
2.7.3 Elevation Process				
	The City will advertise for final bids for six elevation contracts within 10 days of receiving grant funds for the project.			
• As a safety precaution, the community should consider the installation of fencing that restricts entrance onto the site and placement of signage to secure the property.	Windows will be boarded up and signs and fences will be installed to secure the property.			
• Coordinate utility disconnections with electricity, gas, and water companies.	The City Public Works Department will be responsible for disconnecting electricity, gas, and water.			
• Arrange for the removal and proper disposal of household hazardous wastes, such as paints; appliances, such as refrigerators; and potentially hazardous features, such as storage tanks for home heating oil. These items must be disposed of in accordance with all appropriate local, state, and Federal laws and regulations.	The owner will be responsible for removing all household hazardous materials from the structure. Due to the age of the homes, hazardous construction materials are not expected. Also, because the homes are heated with gas and connected to the sewer system, no tank extractions will be necessary.			
• Elevate the structure. Remove debris and ensure that it is taken to a permitted disposal facility.	The contractor will elevate the structure and remove all construction debris.			
• Grade and seed the site.				
For additional information, read Sections 11 and 12 of the HMGP Desk Reference.				

SAMPLE DATA FOR THE SCOPE OF WORK

2.8 STEP 8: PREPARE A COST ESTIMATE AND SCHEDULE

2.8.1 Cost Estimate

The application must be accompanied by a cost estimate for completion of the project. Elements of the cost estimate are described below. For all of these items (with the exception of FMV), reasonable costs can be obtained by contacting two or three potential vendors and then applying the average cost for a single property to all properties involved in the elevation project. Another alternative for determining costs is to contact nearby communities that may have recently undertaken an elevation project.

A detailed cost estimate has been prepared by the City and is included with the other support data.

- FMV. As described in Step 5, the FMV must be determined for each structure to be elevated. If the FMV is set using the pre-flood value of a structure, the elevation price must be reduced by the total value of disaster-related repair assistance and NFIP insurance payments paid to the owner to avoid duplication of benefits. This reduction does not apply if the owner can show that the assistance was actually used to complete repairs, or if the post-flood market value of the property is used.
- **Appraisal.** To determine the actual purchase price, an appraisal should be conducted by a professional Statecertified or State-licensed appraiser. The cost of the appraisal is eligible for grant funding.

The FMVs will be based on pre-disaster building values. The City of Adversity used property tax assessment data to estimate a working budget. However, we plan to base final purchase offers on appraisals completed by a certified professional appraiser from Prosperity County. For those property owners who are interested in obtaining a second appraisal at their expense, the City will provide a list of appraisers from the County whose appraisals will be considered acceptable.

SAMPLE DATA FOR THE SCOPE OF WORK **PROCEDURES Property Surveys.** Survey costs may vary depending on historical and environmental considerations. If hazardous materials are present, an Environmental Site Assessment may be required. The number of properties requiring survey will vary depending on the community's land use history. Additional surveys may be required to determine or confirm lot boundaries prior to the sale of the property. Standard closing costs are included in the detailed project cost Closing Costs. Closing costs include title searches and preparation of documents for closing. Contact title estimate. companies or real estate attorneys for estimates. **Tenant Relocation.** Renters of property to be elevated As stated previously, there are two tenants that will probably qualify as low-to-moderate income and may require additional may be eligible for relocation assistance under the relocation assistance. Uniform Relocation Assistance and Real Property Acquisition Policies Act. Affected tenants may receive assistance with out-of-pocket moving expenses, compensation for a reasonable increase in rent and utility expenses, and advisory services for locating new housing. If the elevation involves mobile homes, a person renting both the mobile home and the building pad is eligible for these expenses. Persons owning a mobile home and renting the building pad may also be eligible. **Project Administration Costs.** These are the costs for the The receipts for previous community notices for public meetings have been included in our cost estimate. community to administer the grant, including public notices and reporting. **Environmental/Historic Preservation Costs.** The costs should include a detailed estimate for mitigating any potential impacts of the project on environmental or

SAMPLE DATA FOR THE SCOPE OF WORK

historic resources (see Section 2.9). Costs associated with remediation of hazard materials, such as asbestos abatement and aboveground storage tank removal, should be included.

• **Legal Fees**. If the city or county attorney is not responsible for this project, it may be necessary to hire an outside attorney specializing in real estate transactions.

In addition to estimating project costs, the applicant must identify potential sources of funding for the project. The mitigation grant will cover 75 percent of project costs. Matching funds from other sources must be identified.

2.8.2 Schedule

A schedule for completing the work must be submitted with the application. This schedule must include the time frame for all actions described in the Scope of Work, from initial public meetings through demolition of the last structure. The duration of each phase of the project should be identified. The schedule may be presented in terms of time frames following certain activities; for example, offers will be made to property owners within 1 month of notification that funds for the project have been approved.

The project work schedule is attached.

SAMPLE DATA FOR THE SCOPE OF WORK

2.9 STEP 9: CONSIDER ALL ENVIRONMENTAL AND HISTORIC PRESERVATION IMPACTS

2.9.1 National Environmental Protection Act (NEPA)

NEPA requires FEMA to evaluate the effects of its actions and actions it funds, on the natural and human environments. FEMA must also ensure that its actions comply with all other applicable Federal environmental laws and regulations, such as the Endangered Species Act and the National Historic Preservation Act (NHPA). Although FEMA is responsible for ensuring Federal-level compliance, the applicant must provide information required for the compliance process. The applicant is also responsible for ensuring that the project complies with applicable State, tribal, and local environmental laws and permitting requirements.

Specific considerations are outlined below. The information requested is the minimum required, and should not constrain applicants from providing more information where potential impacts are identified. Lack of documentation may delay completion of FEMA's review or cause the application to be declined.

For purposes of environmental and historic preservation review, the applicant should not only evaluate the potential impacts of the project itself, but also of any associated construction activities, such as temporary access roads, staging yards, borrow areas, and site restoration or remediation. All costs associated with avoidance and minimization measures must be included in the project cost

SAMPLE DATA FOR THE SCOPE OF WORK

estimate (see Step 8).

Temporary impacts, such as heavy equipment crossing over wetlands or floodplain areas, economic losses due to closed roads, and detour costs should be discussed and documented within the application. The applicant should also explain their intent to avoid or mitigate these impacts.

As part of the NEPA environmental review FEMA has determined that certain categories of action normally have no significant effect on the human environment and, therefore, can be categorically excluded from the preparation of environmental impact statements and environmental assessments except if extraordinary circumstances as defined below. The following are exclusion categories that might be relevant to elevation projects:

- iii. Studies that involve no commitment of resources other than manpower and funding. (Level 1)
- iv. Inspection and monitoring activities, actions to enforce standards or regulations. (Level 1)
- vii. Acquisition of properties and associated demolition/removal when the acquired property will be dedicated in perpetuity to uses that are compatible with open space, recreational, or wetland practices. (Level 2)
- ix. Acquisition, installation, or operation of utility and communication systems that use existing distribution systems or facilities, or currently used infrastructure

PROCEDURES SAMPLE DATA FOR THE SCOPE OF WORK

rights-of-way. (Level 2)

- xi. Planting of indigenous vegetation. (Level 1)
- xii. Demolition of structures and other improvements or disposal of uncontaminated structures and other improvements to permitted off-site locations, or both. (Level 2)
- xv. Repair, reconstruction, restoration, elevation, retrofitting, upgrading to current codes and standards, or replacement of any facility in a manner that substantially conforms to the preexisting design, function, and location. (Level 2)
- xvi. Improvements to existing facilities and the construction of small scale hazard mitigation measures in existing developed areas with substantially completed infrastructure, when the immediate project area has already been disturbed, and when those actions do not alter basic functions, do not exceed capacity of other system components, or modify intended land use; provided the operation of the completed project will not, of itself, have an adverse effect on the quality of the human environment. (Level 3)

The documentation required varies depending on whether the CATEX is a Level 1, Level 2, or Level 3.

Level 1. The project file should indicate the CATEX for which the project or action qualifies and justification, if

SAMPLE DATA FOR THE SCOPE OF WORK **PROCEDURES** necessary. Level 2. Requires indication and justification of the specific CATEX(s) being used. Also requires an indication that there are no extraordinary conditions or, where appropriate, documentation of consultations. Level 3. CATEX xvi requires full review, consultation and documentation as appropriate and as described in the NEPA Desk Reference for: • National Historic Preservation Act and Archeological & Historical Preservation Act; Endangered Species Act; • Farmlands Protection Policy Act; Section 404 of the Clean Water Act: Executive Orders 11988, 11990, 12898; Any other environmental laws and executive orders if they apply and; Extraordinary circumstances If one or more of the following extraordinary circumstances exist and may be impacted by the project, the project may no longer qualify as a CATEX and an Environmental Assessment

will need to be prepared.

PROCEDURES SAMPLE DATA FOR THE SCOPE OF WORK

- (i) Greater scope or size than normally experienced for a particular category of action;
- (ii) Actions with a high level of public controversy;
- (iii) Potential for degradation, even though slight, of already existing poor environmental conditions;
- (iv) Employment of unproven technology with potential adverse effects or actions involving unique or unknown environmental risks;
- (v) Presence of endangered or threatened species or their critical habitat, or archaeological, cultural, historical or other protected resources;
- (vi) Presence of hazardous or toxic substances at levels which exceed Federal, State or local regulations or standards requiring action or attention;
- (vii) Actions with the potential to affect special status areas adversely or other critical resources such as wetlands, coastal zones, wildlife refuge and wilderness areas, wild and scenic rivers, sole or principal drinking water aquifers;
- (viii) Potential for adverse effects on health or safety;
- (ix) Potential to violate a Federal, State, local or tribal law or requirement imposed for the protection of the environment; and
- (x) Potential for significant cumulative impact when the

SAMPLE DATA FOR THE SCOPE OF WORK

proposed action is combined with other past, present and reasonably foreseeable future actions, even though the impacts of the proposed action may not be significant by themselves.

2.9.2 Historic Properties: Structures

Under Section 106 of the National Historic Preservation Act, FEMA must consider the effects of its finding on buildings, structures, sites, districts, and objects that are listed or eligible for listing on the National Register of Historic Places. Consultation with the State or Tribal Historic Preservation Officer (SHPO/THPO), other consulting parties, and the public is required as part of this consideration.

Even if the property is not listed on a National Register, FEMA must evaluate properties, typically 50 years or older, for their historic significance and determine whether the property is eligible for listing in the National register. The applicant should provide information to FEMA supporting this evaluation.

Determine if any of the structures to be elevated are potentially historic or adjacent or within close proximity to historic properties or to a historic district. Consider roads, bridges, and other infrastructure as part of this evaluation. The preferred source of information to determine the original age of a structure is a review of building permit data, engineering documents, or tax or land records. The SHPO/THPO, relevant local government agency, historic commission, or historical society may be contacted to obtain information on identification of structures, local or State surveys and the

None of the structures in the project are 50 years or more in age and have no historic significance. The SHPO concurred with this finding (letter attached).

SAMPLE DATA FOR THE SCOPE OF WORK

presence of historic districts encompassing or adjacent to the proposed elevation sites.

If any nearby structures are over 50 years old or located within a known or potential historic district provide:

- The property address, date of original construction, and source of documentation for each structure.
- At least two color photographs showing at least three sides
 of the structure. If outbuildings are present, such as a
 separate garage or barn, provide photographs of two sides
 of these structures as well.
- Documentation associated with the structure being listed or determined eligible for listing on the National Register of Historic Places.
- A detail of the property location(s) or proximity to a historic district on 1:24,000 scale USGS topographic map.
- Documentation of coordination with the SHPO/THPO, or other parties.

2.9.3 Historic Properties: Archaeological Resources and Historic Sites

Consideration of effects to historic properties is not limited to buildings and other built-environment features. Previously undisturbed or agricultural areas may also be significant, either because archaeological resources may exist at the site or because the site is in an area where a historic event occurred, including sites significant to Native Americans.

Determine if the elevation requires ground-disturbing activities. If so, provide documentation of:

- The area of the disturbance on a 1:24,000 USGS topographic map, including dimensions and location, and site maps.
- Past uses of the area to be disturbed, including the results of a literature search to determine if known archaeological sites exist in the area.
- Coordination with the SHPO/THPO, or other parties.

SAMPLE DATA FOR THE SCOPE OF WORK

There is high probability that prehistoric or historic archaeological resources are located in the project area. There are several documented archaeological sites on the same land forms in surrounding areas. However, according to the SHPO (see letter dated 05/05/04 in Attachments), the project should have no effect on these resources as long as no grading occurs of the previously undisturbed soil surrounding the house foundations.

SAMPLE DATA FOR THE SCOPE OF WORK

2.9.4 Endangered Species and Biological Resources

Under Section 7 of the Endangered Species Act (ESA), FEMA must evaluate the effects of its actions on federally listed threatened and endangered species and their habitat. While elevation projects may be undertaken in areas that are unlikely to have suitable habitat for listed species, any project involving impacts on rivers and streams must be carefully evaluated for potential impacts on aquatic species and species associated with riparian habitat. Additionally, aspects of a project such as access roads and staging may have effects on nearby biological resources that should be evaluated. The applicant can speed the review process by obtaining species information and initiating contact with appropriate State wildlife agencies, the U.S. Fish and Wildlife Service (USFWS) and, if ocean-going fish are affected, with the National Marine Fisheries Service (NMFS). However, any formal consultation with Federal agencies must be handled by FEMA.

Another law that addresses waterways and associated species with a particular interest in the effects brought about by changes in hydrology is the Fish and Wildlife Coordination Act. Since this act is also overseen by the USFWS and NMFS, it should be handled at the same time as the ESA.

Potential effects on biological resources should be evaluated if aspects of the project:

None of the natural features of the project area will be altered by the proposed project. Therefore, there will be no impact to the biological resources of the area.

- Are located within or adjacent to (typically within 200 feet) a body of water, such as a perennial, intermittent, or seasonal stream; drainage swale; seasonally wet area; pond; lake; creek; or coastal waterway.
- Result in the removal of vegetation.
- Are located within or adjacent to identified critical habitat for federally listed species known to occur in the project area; locations of critical habitat can be obtained from the USFWS and NMFS.
- Affect the hydrology or hydraulics of the waterway.

If biological resources have the potential to be affected, submit:

- A map showing the nearby water body, its dimensions, the proximity of the project to the water body, and the expected and possible changes to the water body, if any. Identify all water bodies regardless whether there may be an effect.
- Documentation and map showing the amount and type of vegetation affected. Discuss the presence of critical habitat or other significant feature with Federal or State wildlife agencies before undertaking extensive field work or mapping.
- Documentation of species in or near the project area.

SAMPLE DATA FOR THE SCOPE OF WORK

Information regarding the presence of federally listed threatened and endangered species was obtained from the U.S. Fish and Wildlife Field Office. The project area does not fall within designated critical habitat, nor does the project area contain suitable habitat for threatened or endangered species known to be present in the vicinity.

SAMPLE DATA FOR THE SCOPE OF WORK

 Documentation of coordination with the USFWS or NMFS, or both, regarding the potential occurrence of federally listed species and potential impacts to species.

If a reviewing agency suggests redesign of the project or use of measures to reduce effects on species, the application scope of work, budget, and project decision-making description should address the suggested changes.

2.9.5 Clean Water Act and Protection of Wetlands

Waters of the United States and designated wetlands are protected through the Federal Clean Water Act (CWA) and through Executive Order 11990, Protection of Wetlands. Applicable resources include rivers, streams, ponds, lakes, and coastal waterways and include seasonal as well as perennial bodies of water. As with biological resources, elevation projects often occur in developed areas and do not have any effect on waterways or wetlands. However, floodprone structures may be located adjacent to water bodies or wetlands, and activities such as staging, disposal of debris, and site remediation may have effects that must be considered. Permits for work in waters of the United States are issued by the USACE under Section 404 of the Clean Water Act. The applicant is also responsible for obtaining any permits required under State law such as the CWA section 101 water quality certificate and the National Pollution Discharge Elimination System (NPDES) permit.

If the elevation involves disposal, excavation, fill placement

None of the natural features of the project area will be altered by the proposed project. Best management practices will be used during elevation to prevent discharge of silt and other materials into storm sewers. Therefore, there will be no impact to water resources in the area.

SAMPLE DATA FOR THE SCOPE OF WORK **PROCEDURES** or other modifications to water bodies or wetlands, submit: Documentation of coordination with the USACE regarding potential for wetlands, and applicability of permitting requirements. Map showing the relationship of the project to National Wetlands Inventory information or other available wetlands delineations. Documentation of the alternatives considered to eliminate or minimize impacts to wetlands. For example, if earthwork during site remediation could result in silt-laden runoff, water quality could be affected; a plan for reducing erosion and runoff should therefore be included. Copies of the required permits, including a letter from the Documentation that applicable permits have been applied USACE determining that there was no impact under their for or obtained at time of project application. jurisdiction, are attached. (The support data for all of the permits have not been attached, but is on file at the city and can be provided upon request).

SAMPLE DATA FOR THE SCOPE OF WORK

2.9.6 Floodplain Management

Executive Order 11988, Floodplain Management, states that each Federal agency shall provide leadership and shall take action to reduce the risk of flood loss, to minimize the impact of floods on human safety, health and welfare, and to restore and preserve the natural and beneficial values served by floodplains in carrying out its responsibilities for (1) acquiring, managing, and disposing of Federal lands, and facilities; (2) providing federally undertaken, financed, or assisted construction and improvements; and (3) conducting Federal activities and programs affecting land use, including but not limited to water and related land resources planning, regulating, and licensing activities. In accordance with Executive Order 11988, FEMA must ensure that its actions avoid to the extent possible the long and short-term adverse impacts associated with the occupancy and modification of floodplains. By definition, removal of floodprone structures from the floodplain is consistent with the requirements of this Executive Order.

The project application must include flood hazard data, including a floodplain map, as described in Step 2. However, if the project involves additional work in the floodplain after the structures are elevated, such as the placement of fill as part of the site remediation, submit documentation of:

• Analysis regarding the means or the alternatives considered to eliminate or minimize impacts of post-elevation activities in the floodplain.

The project will remove all possibility of future damage to structures in the area and will in no way alter the natural floodplain in the area. Therefore, there are no impacts to the floodplain management in this area.

SAMPLE DATA FOR THE SCOPE OF WORK

- Hydrologic and hydraulic information from a qualified engineer or hydrologist to demonstrate how drainage and flood flow patterns would be changed and to identify any upstream and downstream effects.
- Consultation with the USACE with regard to Section 404 of the Clean Water Act.
- Coordination with the corresponding State agency, if applicable, with jurisdiction over modification of waterways.

2.9.7 Coastal Zone Management Act

Under the Coastal Zone Management Act, FEMA must ensure that its actions are consistent with the approved State Coastal Zone Management Plan. If the elevation project is located in the State's designated coastal zone, obtain a permit or clearance letter from the appropriate State agency that implements the Coastal Zone Management Plan or attach documentation regarding application of coastal zone management requirements to the elevation project.

2.9.8 Coastal Barrier Resources Act

The Coastal Barrier Resources Act (CBRA) was designed to protect barrier islands along the East Coast, Gulf of Mexico, and Great Lakes. The law prohibits Federal funding for construction of any new structure or appurtenance on barrier islands. Also, no new flood insurance coverage may be provided on or after October 1, 1983, for any new

The project area is not located within a coastal zone.

SAMPLE DATA FOR THE SCOPE OF WORK

construction or substantial improvement of a structure located in a Coastal Barrier Resources System (CBRS). Therefore, FEMA is not able to fund elevations in a CBRS.

2.9.9 Hazardous and Toxic Materials

Potential contamination and environmental liability are significant concerns for applicants proposing elevations because they will hold the title to the acquired property. Further, FEMA does not fund the elevation of contaminated property. Contamination may result from previous uses of the property or from commercial and light industrial uses found in residential areas, such as auto repair. Properties eligible for elevation may contain common hazardous materials, including lead, asbestos, home septic systems, home heating oil tanks, and household hazardous materials such as solvents and paints. FEMA must ensure that the applicant takes steps to dispose of such materials properly when the structure is demolished.

Site contamination may be of concern if:

- Current or past land uses of the property or the adjacent properties are associated with hazardous or toxic materials.
- Studies, investigations, or enforcement actions exist for the property.

At present, property owners are responsible for appropriate disposal of any known hazardous materials that they are capable of removing (such as paint, cleaning solvents, car batteries, and pesticides). If any other hazardous materials are found during demolition, either the demolition contractor will dispose of them appropriately or the City will extend the SOW for a special contractor.

SAMPLE DATA FOR THE SCOPE OF WORK

If contamination is suspected:

- Provide any relevant documentation regarding the contamination. It may be necessary to conduct an Environmental Site Assessment to formally identify hazardous materials concerns.
- Consult with the appropriate State or local agency to obtain permit and requirements for handling, disposing of, or addressing the effects of hazardous or toxic materials.

The SOW description for the project must describe the elevation components and the plan for disposing of debris. The plan must include steps for proper disposal of household hazardous waste, including compliance with any State or local requirements.

2.9.10 Effects on Minority and Low-Income Populations

Executive Order 12898, Environmental Justice, requires Federal agencies to identify and address, where appropriate, adverse human health, environmental, economic, and social effects when they disproportionately affect minority or low-income populations. The Executive Order also directs Federal agencies to avoid excluding persons from receiving the benefits of programs because of their race, color, or national origin. Further, Federal agencies are encouraged to integrate this Executive Order with the NEPA process to identify potential effects and related mitigation measures in consultation with affected communities. Consequently, the effects of elevation projects that are undertaken in

There are no minority families living in the project area. Some properties are owned by low-income residents; however, it is the position of the City that elevation of their properties will benefit them by reducing damages, displacement, and the fear and trauma associated with living in a floodplain

communities with a high proportion of minority or lowincome residents must be evaluated. It is very unlikely that adverse disproportional effects could be caused by the elevation of floodprone structures, but if those effects could be identified then it would be necessary to determine if the conditions of this Executive Order are triggered.

Two conditions are indicators of the presence of minority or low-income population: 1) if the community is predominately minority or low income or 2) if the demographic profile of the area impacted by the elevation project has a significantly higher minority or low income percentage than the surrounding area which is not impacted. A third factor to consider is whether the affected population has a high proportion of limited-English speakers. These conditions can be evaluated using data collected by the U.S. Census Bureau or by local entities such as social services agencies or redevelopment authorities. It is very unlikely that adverse disproportional effects could be caused by the elevation of floodprone structures, but if those effects could be identified then it would be necessary to address the effects. If adverse effects are evenly distributed among a population there are no disproportionate high and adverse effects and the Executive Order is not triggered.

If the Executive Order is triggered, it is necessary to communicate with the affected population to determine what mitigation measures can be taken to minimize or avoid the adverse impacts. In areas where a high proportion of the affected persons are limited-English speakers, public notices, public documents, and other key communication tools must be translated to ensure participation by limited-English speaking

SAMPLE DATA FOR THE SCOPE OF WORK

SAMPLE DATA FOR THE SCOPE OF WORK

persons.

2.9.11 Land Use and Socioeconomic Effects

Under NEPA, the potential effects of the elevation project on the community must be evaluated. Determine if the project will:

- Disrupt the physical and economic arrangement of an established community. For example, if homes are elevated in the middle of a block, will the aesthetics of the community be affected? If a significant portion of the residents in a small town relocate, will the tax base suffer?
- Affect fire or police protection, schools, maintenance of public facilities, or other governmental services.
- Interrupt utilities and service systems.
- Be consistent with the zoning and the general plan of the jurisdiction. It may be necessary to adopt changes to zoning ordinances to accommodate the building elevations after the project is completed, including a change in the FIRM.

The County has no economic concerns or issues because no business areas are affected by this project. However, two tenants living in project properties will probably qualify as low-to-moderate income and may require additional relocation assistance.

Sample Property Inventory Summary

See subsequent pages for additional properties.

Project #	Lot or Parcel #	NFIP Policy #	FIP Policy # Property Owner's Name Property Address & Z		Estimated FMV*
1	301-0011-008	8973987468	Mr. Jerome Cress	1375 Hurricane Way, 40241 (RL)	\$170,000
2	301-0011-010	0960123876	0123876 Mr. and Mrs. Mehrdad Mostovich 1377 Hurricane Way, 40241		\$165,800
3	301-0011-013	1019273490	Geoffrey and Aimee Flaxman	1382 Hurricane Way, 40241	\$156,500
4	301-0011-016	2034978458	2034978458 Ms. Patrice Brome 1385 Hurricane Way, 40241 (RL)		\$176,350
5	301-0011-018	9090780078	Richard Turkanis	1387 Hurricane Way, 40241	\$388,725
6	301-0011-021	5795690765	Sharon Tubbs 1390 Hurricane Way, 40241		\$191,300
				Total Estimated FMVs this page	\$1,248,675

^{*} FMV = fair market value

(FROM STEP 7): SAMPLE COST ESTIMATE AND SCHEDULE

Cost Estimate

	U	Unit Cost # of Units		Total Cost
Appraisal	\$	500	6	\$ 3,000
Property survey	\$	500	6	\$ 3,000
Title search, inspection, and closing	\$	1,000	6	\$ 6,000
Elevation	Lump Sum			\$ 216,500
Tenant relocation assistance	\$	6,000	2	\$ 12,000
Legal fees				\$ 3,350
Total Cost Estimate				\$ 243,850

Schedule

Projected Work Schedule					
Task	Estimated time to complete				
Announce receipt of grant	1-2 weeks				
Update list of interested property owners	2-4 weeks				
Appraise properties	6-10 weeks				
Distribute offer letters	3-6 weeks				
Accept any second appraisals	4-6 weeks				
Begin closing proceedings	1-2 weeks				
Close on properties	4-8 weeks				
Demolish structures and remediate properties	8-16 weeks				
Implement open space plan	12-24 weeks				
Maintain open space	Ongoing				
Total Time Estimate:	9-18 months				
Some tasks may overlap or occur simultaneously.					

Sample Damage and BRV* Table								
Owner's Name	NFIP Policy #	Total NFIP Losses in Previous 10 Years	Estimated BRV	Est. \$ Loss in 2002	% Damage	\$ Loss in 1996	% Damage	Est. BRV as of June 2002
1. Cress	8973987468	\$67,500	\$154,630	\$100,500	65%	\$20,100	13%	\$151,898
2. Mostovich	0960123876	\$73,500	\$150,724	\$108,500	72%	\$13,565	9%	\$147,680
3. Flaxman	1019273490	\$63.450	\$141,850	\$75,200	53%	\$31,207	22%	\$138,920
4. Brome	2034978458	\$67,800	\$160,012	\$88,000	55%	\$16,000	10%	\$156,411
5. Turkanis	909078078	\$157,800	\$356,104	\$181,613	51%	\$28,490	8%	\$343,298
6. Tubbs	579569765	\$132,100	\$173,908	\$121,700	70%	\$26,090	15%	\$169,473
Totals			\$1,137,228	\$636,300				\$1,107,680

^{*} BRV = building replacement value

\$135,452